

## Lecture 6 (summary)

In this lecture, we will prove Szemerédi Regularity Lemma. We first recall the statement.

**Lemma** (Szemerédi Regularity Lemma, 1978). *For every  $\varepsilon > 0$  and  $k_0 \in \mathbb{N}$ , there exists  $K_0 \in \mathbb{N}$  such that every graph  $G$  with at least  $k_0$  vertices has a vertex partition  $V_0 \dot{\cup} V_1 \dot{\cup} \dots \dot{\cup} V_k$ ,  $k_0 \leq k \leq K_0$ , such that*

- $|V_0| \leq \varepsilon |V(G)|$  and  $|V_1| = \dots = |V_k|$ , and
- all pairs of parts  $V_i$  and  $V_j$ ,  $1 \leq i < j \leq k$ , except for at most  $\varepsilon k^2$  pairs satisfy that

$$\left| \frac{e(A, B)}{|A| |B|} - \frac{e(V_i, V_j)}{|V_i| |V_j|} \right| \leq \varepsilon$$

holds for all subsets  $A \subseteq V_i$  and  $B \subseteq V_j$  with  $|A| \geq \varepsilon |V_i|$  and  $|B| \geq \varepsilon |V_j|$ ,

where  $e(X, Y)$  denotes the number of edges between sets  $X$  and  $Y$ .

Recall that the pairs  $V_i$  and  $V_j$  that satisfy the property given in the second bullet point of the lemma are referred to as  $\varepsilon$ -regular.

Fix  $\varepsilon \in (0, 1/2)$  and  $k_0 \in \mathbb{N}$ ; the value of  $K_0$  will follow from the proof. The construction of the desired partition of the vertex set of a given graph  $G$  proceeds in rounds and the partition of the vertex set of  $G$  is refined in each round. Throughout the proof, the number of vertices of  $G$  will be denoted by  $n$ .

The partition after  $m$  rounds will be denoted by  $V_0^m \dot{\cup} V_1^m \dot{\cup} \dots \dot{\cup} V_{k_m}^m$  and it will satisfy that  $|V_0^m| \leq \frac{(m+1)\varepsilon^6 n}{32}$ ,  $|V_1^m| = \dots = |V_{k_m}^m|$ , and, if  $m > 0$ ,  $k_m \leq K_m$  for a value of  $K_m$  to be determined later. We define the following quantity for a pair of disjoint subsets  $A$  and  $B$  of  $V(G)$  (the intuition behind the quantity that it is a “weighted” square of the density of the pair  $A$  and  $B$ ):

$$q(A, B) = \frac{|A| |B|}{n^2} \left( \frac{e(A, B)}{|A| |B|} \right)^2 = \frac{e(A, B)^2}{|A| |B| n^2}.$$

For a partition  $\mathcal{P}$  of the vertex set of  $G$ , we define  $q(\mathcal{P})$  to be the sum of all  $q(A, B)$  over all pairs of distinct parts  $A$  and  $B$  of  $\mathcal{P}$ . Observe that

$$q(\mathcal{P}) = \sum_{A \neq B \in \mathcal{P}} q(A, B) = \sum_{A \neq B \in \mathcal{P}} \frac{e(A, B)^2}{|A| |B| n^2} \leq \sum_{A \neq B \in \mathcal{P}} \frac{|A|^2 |B|^2}{|A| |B| n^2} = \sum_{A \neq B \in \mathcal{P}} \frac{|A| |B|}{n^2} < 1.$$

Throughout the proof, we will understand the partition  $V_0^m \dot{\cup} V_1^m \dot{\cup} \dots \dot{\cup} V_{k_m}^m$  to be the partition into  $|V_0^m| + k_m$  sets that are all one-element subsets of  $V_0^m$  and  $k_m$  sets  $V_1^m, \dots, V_{k_m}^m$ . In each round, the quantity  $q(\cdot)$  will increase by at least  $\varepsilon^5/16$  and so the number of rounds will be at most  $M = \lfloor 16\varepsilon^{-5} \rfloor$ .

Before proceeding further, we analyze the difference  $q(A_1, B) + q(A_2, B) - q(A, B)$  where  $A = A_1 \dot{\cup} A_2$ :

$$\begin{aligned}
q(A_1, B) + q(A_2, B) - q(A, B) &= \frac{e(A_1, B)^2}{|A_1| |B| n^2} + \frac{e(A_2, B)^2}{|A_2| |B| n^2} - \frac{e(A, B)^2}{|A| |B| n^2} \\
&= \frac{|A_1| |A_2| |B|}{|A| n^2} \left( \frac{|A| e(A_1, B)^2}{|A_1|^2 |A_2| |B|^2} + \frac{|A| e(A_2, B)^2}{|A_2|^2 |A_1| |B|^2} - \frac{e(A, B)^2}{|A_1| |A_2| |B|^2} \right) \\
&= \frac{|A_1| |A_2| |B|}{|A| n^2} \left( \frac{e(A_1, B)^2}{|A_1|^2 |B|^2} + \frac{e(A_2, B)^2}{|A_2|^2 |B|^2} - \frac{2e(A_1, B)e(A_2, B)}{|A_1| |A_2| |B|^2} \right) \\
&= \frac{|A_1| |A_2| |B|}{|A| n^2} \left( \frac{e(A_1, B)}{|A_1| |B|^2} - \frac{e(A_2, B)}{|A_2| |B|} \right)^2 \geq 0.
\end{aligned}$$

In particular, it follows that refining a partition  $\mathcal{P}$  never decreases  $q(\mathcal{P})$ .

We now resume the proof of the Szemerédi Regularity Lemma. The initial partition is obtained by splitting the vertices of  $G$  into  $k_0$  sets  $V_1^0, \dots, V_{k_0}^0$  each with  $\lfloor n/k_0 \rfloor$  vertices and placing the remaining  $k_0$  vertices to the set  $V_0^0$ . If  $k_0 \leq \varepsilon^5 n / 32$ , then the initial partition has the properties to start the iterative proof; otherwise,  $n \leq 32k_0 \varepsilon^{-5}$  and by setting  $K_0 \geq 32k_0 \varepsilon^{-5}$ , the partition sought in the lemma can be the partition of  $V(G)$  into  $n$  one-element sets.

We now describe the iterative step, which starts with a partition  $V_0^m \dot{\cup} V_1^m \dot{\cup} \dots \dot{\cup} V_{k_m}^m$  described as above. If all but  $\varepsilon k_m^2$  pairs  $1 \leq i < j \leq k_m$  satisfy that the pair  $V_i^m$  and  $V_j^m$  is  $\varepsilon$ -regular, then we have the desired partition and stop. Observe that if  $X \subseteq V_i$  and  $Y \subseteq V_j$  witness that the pair  $V_i$  and  $V_j$  is not  $\varepsilon$ -regular, then

$$\left| \frac{e(X, V_j)}{|X| |V_j|} - \frac{e(V_i \setminus X, V_j)}{|V_i \setminus X| |V_j|} \right| \geq \frac{\varepsilon}{2} \quad \text{or} \quad \left| \frac{e(X, Y)}{|X| |Y|} - \frac{e(X, V_j \setminus Y)}{|X| |V_j \setminus Y|} \right| \geq \frac{\varepsilon}{2}.$$

It follows that the difference  $q(X, Y) + q(V_i \setminus X, Y) + q(X, V_j \setminus Y) + q(V_i \setminus X, V_j \setminus Y) - q(V_i, V_j)$  is at least

$$\frac{\varepsilon^2}{2} \cdot \frac{|V_i| |V_j|}{n^2} \left( \frac{\varepsilon}{2} \right)^2 = \frac{\varepsilon^4}{8} \cdot \frac{|V_i| |V_j|}{n^2} \geq \frac{\varepsilon^4}{32} \frac{1}{k_m^2}.$$

For every pair  $V_i$  and  $V_j$  that is not  $\varepsilon$ -regular fix a partition as defined above and for every  $\varepsilon$ -regular pair, fix any partition of each set into two disjoint sets. Consider the refinement of the partition  $V_1^m \dot{\cup} \dots \dot{\cup} V_{k_m}^m$  to a partition with  $2^{k_m-1} k_m$  parts such that two vertices are in the same part iff they belong to the same  $V_i$  and they are in the same part for any partition involving  $V_i$ . Note that the value  $q(\cdot)$  increased by at least  $2\varepsilon k_m^2 \frac{\varepsilon^4}{32} \frac{1}{k_m^2} = \frac{\varepsilon^5}{16}$ . Split each of the obtained parts into parts of size exactly  $\left\lfloor \frac{\varepsilon^6}{32} \cdot \frac{n}{2^{k_m-1} k_m} \right\rfloor$  and move the vertices that do not fit to the garbage part, which now becomes the set  $V_0^{m+1}$ . Note that the size of  $V_0^{m+1}$  is at most  $|V_0^m| + 2^{k_m-1} k_m \cdot \frac{\varepsilon^6}{32} \cdot \frac{n}{2^{k_m-1} k_m} \leq \frac{(m+2)\varepsilon^6 n}{32}$ . We set  $K_{m+1} = 2^{K_m+4} K_m \varepsilon^{-6}$  and note that the number  $k_{m+1}$  of the obtained parts is at most  $K_{m+1}$ . Since the value of  $q(\cdot)$  increases by at least  $\frac{\varepsilon^5}{16}$  in each iteration, the whole process ends after at most  $M$  iterations, at which points we have at most  $K_0 := \max\{K_M, 32k_0 \varepsilon^{-5}\}$  parts in addition to the garbage part, which has size at most  $\frac{(M+1)\varepsilon^6 n}{32} \leq \varepsilon n^2$ .