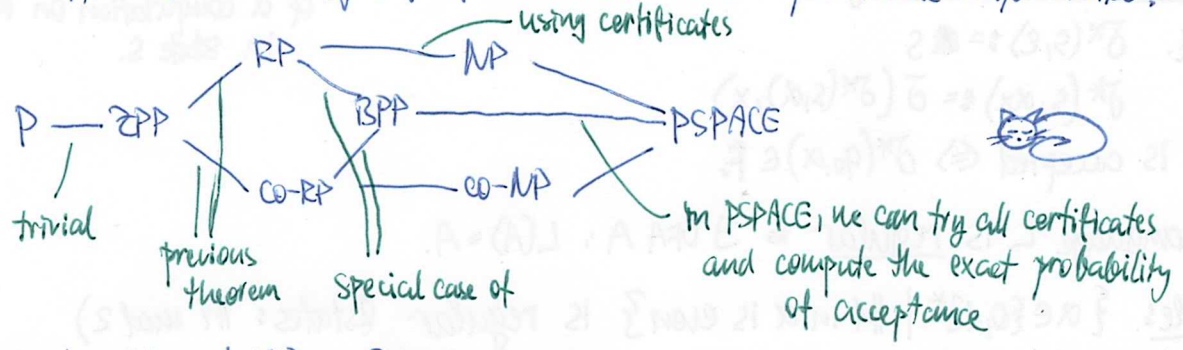


Exercise: What happens if we modify def. of BPP or RP to use expected time and/or MAYBE?

Inclusions:



Exercise: Define class PP:  $LEPP \equiv \exists M$  PTM running in w.c. time  $O(\text{poly}(n))$  s.t.  $P(L(\alpha) = M(\alpha)) > 1/2$  for all  $\alpha$ .

beware: amplification doesn't work for PP (not exponentially!)

Show that:  $NP \subseteq PP, co-NP \subseteq PP, BPP \subseteq PP, PP \subseteq PSPACE$ .

Theorem:  $BPP \subseteq P/\text{poly}$ .

← advice

Proof: For inputs of size  $n$ : iterate  $O(n)$  times to get  $P(\text{error}) \leq \frac{1}{2} \cdot 2^{-n}$

Let  $L \in BPP$

Let  $r := \#$  random bits used by the machine (certificate size)

For a fixed input  $\alpha$ :  $P_{\beta \in \{0,1\}^r} (V(\langle \alpha, \beta \rangle) \neq L(\alpha)) \leq \frac{1}{2} \cdot 2^{-n} \Rightarrow \#$  "bad" certs for which this happens  $\leq 2^r \cdot \frac{1}{2} \cdot 2^{-n}$

↳ taking union over all  $\alpha$ :  $\#$  bad certs  $\leq 2^n \cdot \frac{1}{2} \cdot 2^{-n} \cdot 2^n = \frac{1}{2} \cdot 2^r < 2^r$

$\Rightarrow$  there exists a certificate which is good for all inputs: this will be the advice.

So our algorithm just calls  $V$  on  $\langle \text{input}, \text{advice} \rangle$ . This implies  $L \in P/\text{poly}$ .

Notes: It is known that  $BPP \subseteq \Sigma_1^P \cap \Pi_1^P$  (Sipser-Gács theorem) ← this is stronger than  $BPP \subseteq PSPACE$ .

There are no known BPP-complete problems nor hierarchy theorems. ← BPP is a "semantic" class, so diagonalization doesn't work. It's believed that  $BPP = P$  (otherwise hard-to-believe things happen)

## REGULAR LANGUAGES

Df: Deterministic Finite-state Automaton (DFA) consists of:

- $Q$  - a finite non-empty set of states
- $\Sigma$  - a finite non-empty alphabet
- $\delta: Q \times \Sigma \rightarrow Q$  - transition function
- $q_0 \in Q$  - initial state
- $F \subseteq Q$  - a set of accepting states

Df: Computation of a DFA over an input string  $\alpha \in \Sigma^*$  is a sequence of states  $s_0, s_1, \dots, s_{|\alpha|}$  such that  $s_0 = q_0$  and  $\forall i \ s_{i+1} = \delta(s_i, \alpha[i])$ . ← uniquely determined

alternatively:  
 - DFA is a multi-graph with labelled edges (by  $\Sigma$ )  
 - computation is a walk in the graph starting in  $q_0$  and labelled by the input  $\alpha$ .

- The input is accepted  $\equiv s_{|\alpha|} \in F$ .
- $L(A) :=$  the language of all words accepted by the automaton  $A$ .

Def: Extended transition function  $\delta^*: Q \times \Sigma^* \rightarrow Q \leftarrow \delta^*(s, \alpha)$  is the final state of a computation on  $\alpha$  starting in state  $s$ . (44)

s.t.  $\delta^*(s, \epsilon) := s$   
 $\delta^*(s, \alpha x) := \delta(\delta^*(s, \alpha), x)$

$\alpha$  is accepted  $\Leftrightarrow \delta^*(q_0, \alpha) \in F$ .

Def: Language  $L$  is regular  $\equiv \exists$  DFA  $A: L(A) = L$ .

Example:  $\{\alpha \in \{0,1\}^* \mid \#1 \text{ in } \alpha \text{ is even}\}$  is regular (states:  $\#1 \pmod 2$ )

Example: Every finite language is regular (states: prefixes of words in the language)

Example:  $\{0^n 1^n \mid n \geq 0\}$  is not regular. If there existed a DFA accepting it: set  $t := |Q|$ , consider  $s_0 \dots s_t$ , where  $s_i := \delta^*(q_0, 0^i)$ . By Pigeon-hole principle, there is  $i < j$  s.t.  $s_i = s_j$ . Now  $\delta^*(q_0, 0^i 1^i) = \delta^*(q_0, 0^j 1^i)$ , so  $0^i 1^i$  is accepted  $\Leftrightarrow 0^j 1^i$  is.  $\Downarrow$

Lemma (Pumping lemma for regular languages):

For every regular language  $L$ , there exists  $n \geq 0$  such that:

Every  $w \in L$ ,  $|w| \geq n$  can be decomposed as  $w = \alpha\beta\gamma$ , where:

- ①  $\forall t \geq 0 \alpha\beta^t\gamma \in L$  (including  $t=0$ )
- ②  $\beta \neq \epsilon$
- ③  $|\alpha\beta| \leq n$ .

Proof: Consider an automaton accepting  $L$ . Set  $n := |Q|$ .

Given  $w \in L$ ,  $|w| \geq n$ , define  $s_0 \dots s_m: s_i := \delta^*(q_0, w[0:i])$   
 let  $m := |w|$

Since  $m \geq n$ , there is  $i < j \leq n$  s.t.  $s_i = s_j$ .

Now set  $\alpha := w[0:i]$ ,  $\beta := w[i:j]$ ,  $\gamma := w[j:m]$ . ... this implies ② and ③

①  $\delta^*(q_0, \alpha) = s_i = s_j = \delta^*(q_0, \alpha\beta) \dots$  so  $\delta^*(s_i, \beta) = s_j$ , hence  $\forall t \geq 0 \delta^*(q_0, \alpha\beta^t) = s_i$ , so  $\forall t \delta^*(q_0, \alpha\beta^t\gamma)$  is always the same. For  $t=1$ ,  $\alpha\beta\gamma \in L$ , so all  $\alpha\beta^t\gamma \in L$ .

Example:  $0^n 1^n$  again ... If it were regular, use  $0^n 1^n$  with  $n$  from the lemma.

Both  $\alpha, \beta$  must consist purely from 0s, so  $\alpha\beta^t\gamma$  is  $0^i 1^n$  and we can increase  $i$ , while staying inside the language.  $\Downarrow$

Lemma: Intersection of two regular languages is regular.  $\leftarrow$  over a common alphabet

Proof: Let  $L_1, L_2$  be regular, DFA  $A_1 = (Q_1, \Sigma, q_{01}, F_1)$  accepting  $L_1$  and DFA  $A_2 = (Q_2, \Sigma, q_{02}, F_2)$  accepting  $L_2$ .

Construct a product of  $A_1$  and  $A_2$ :

$$Q := Q_1 \times Q_2$$

$$\delta((s_1, s_2), x) := (\delta_1(s_1, x), \delta_2(s_2, x))$$

$$q_0 := (q_{01}, q_{02})$$

$$F := F_1 \times F_2$$

we have  $\delta^*((s_1, s_2), \alpha) = (\delta_1^*(s_1, \alpha), \delta_2^*(s_2, \alpha))$   
 so  $\alpha \in L(A) \Leftrightarrow \alpha \in L_1 \cap L_2$ .

Intuition: Run  $A_1, A_2$  in parallel, accept iff both accepted.

Exercise: Regular languages are also closed under complement and ~~the~~ union.

Def: Non-deterministic Finite-state Automaton (NFA)

Like DFA, but  $\delta: Q \times \Sigma \rightarrow \mathcal{P}(Q)$  - we have multiple possible instructions to execute  
and  $Q_0 \subseteq Q$  replaces  $q_0$  - multiple initial states

What changes: Computation requires  $s_{i+1} \in \delta(s_i, \alpha[i])$ ,  $s_0 \in Q_0$

There can be multiple computations for a given input, or perhaps none.  
 $\alpha$  is accepted  $\equiv$  there exists a computation ending in an accepting state.

Def:  $\delta^*: \mathcal{P}(Q) \times \Sigma^* \rightarrow \mathcal{P}(Q)$  defined as:  
 $\delta^*(S, \epsilon) := S$ ,  $\delta^*(S, \alpha x) := \bigcup_{t \in \delta^*(S, \alpha)} \delta(t, x)$ .

} again:  $\alpha$  is accepted  $\Leftrightarrow \delta^*(Q_0, \alpha) \cap F \neq \emptyset$ .

so non-determinism doesn't increase computing power of FAs

Thm: If  $L$  is accepted by an NFA, then it is regular.

Proof: Construct a DFA  $(Q', \Sigma, \delta', q'_0, F')$  which simulates  $\delta^*$  of the original NFA  $(Q, \Sigma, \delta, Q_0, F)$ .

Let  $Q' := \mathcal{P}(Q)$   
 $\delta'(s, x) := \delta^*(s, x)$   
 $q'_0 := Q_0$   
 $F' := \{s \in Q \mid s \cap F \neq \emptyset\}$   
Then  $\delta'^*(q'_0, \alpha) = \delta^*(Q_0, \alpha)$   
so  $\alpha \in L(A) \Leftrightarrow \alpha \in L(A')$ .

Nicer generalization:  $\epsilon$ -NFA, which adds  $\epsilon$ -edges: these can be traversed without reading a symbol from the input

Def: Extend  $\bar{\delta}: Q \times (\Sigma \cup \{\epsilon\}) \rightarrow \mathcal{P}(Q)$ .

Computation = walk from  $q_0 \in Q_0$  s.t. concatenated edge labels yield input string.

Def:  $\epsilon$ -closure  $U_\epsilon(s)$  of a state  $s$  := set of all states reachable from  $s$  using only  $\epsilon$ -edges.  
 $U_\epsilon(S)$  of  $S \subseteq Q$  :=  $\bigcup_{s \in S} U_\epsilon(s)$ .

↳ extending  $\delta^*$  to  $\epsilon$ -NFAs:  $\delta^*(S, \epsilon) := U_\epsilon(S)$   
 $\delta^*(S, \alpha x) := U_\epsilon\left(\bigcup_{t \in \delta^*(S, \alpha)} \delta(t, x)\right)$

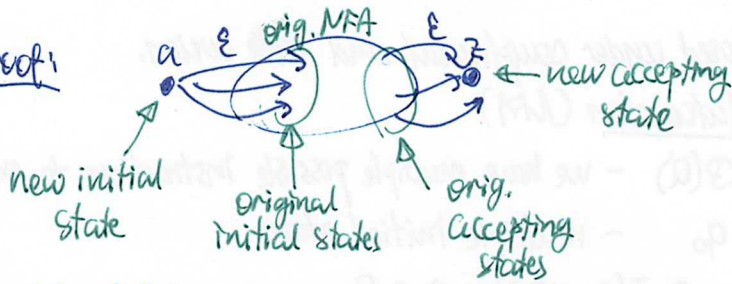
Thm: For every  $\epsilon$ -NFA  $(Q, \Sigma, \bar{\delta}, Q_0, F)$ , there is a NFA  $A' = (Q', \Sigma, \delta', q'_0, F')$  accepting the same language.

Proof: Just add  $\epsilon$ -closure:  $Q' := Q$   
 $q'_0 := U_\epsilon(Q_0)$   
 $\delta'(S, x) := U_\epsilon(\bar{\delta}(S, x))$   
 $F' := F$   
] so  $\delta'^*(S, x) = \delta^*(S, x)$ ,  
hence  $L(A) = L(A')$ .

$\epsilon$ -NFAs accept still the same regular languages, but they are easier to construct.

Lemma: For every  $\epsilon$ -NFA, there is an equivalent  $\epsilon$ -NFA (accepting the same language) which has a unique initial state (with no incoming edges) and unique accepting state (with no outgoing edges)

Proof:

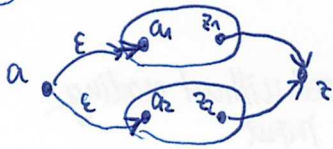


Theorem: The following operations with languages preserve regularity:

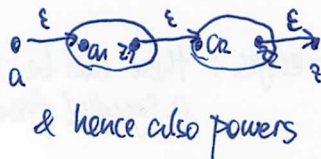
- $\bar{L}$  complement
- $L_1 \cap L_2$  intersection
- $L_1 \cup L_2$  union
- $L_1 \cdot L_2 := \{\alpha \cdot \beta \mid \alpha \in L_1, \beta \in L_2\}$  concatenation (associative)
- $L^k$ :  $L^0 := \{\epsilon\}$ ,  $L^{t+1} := L^t \cdot L$  power
- $L^* := \bigcup_{t \geq 0} L^t$  iteration
- $L^+ := \bigcup_{t \geq 1} L^t$  positive iteration
- $L^R := \{\alpha^R \mid \alpha \in L\}$  reversal (word written backwards)

Proof: For  $\bar{L}$  and  $L_1 \cap L_2$ , we already have the proof. Otherwise use  $\epsilon$ -NFAs with unique init/acc. state.

① Union



② Concatenation



& hence also powers

③ Positive iteration



④ Iteration: add union with  $\{\epsilon\}$

this is an equivalent definition of regularity which does not use automata

⑤ reversal: swap role of  $a, z$ , switch orientation of all edges.

Theorem (Kleene):  $L$  is regular  $\Leftrightarrow L$  can be constructed from  $\emptyset, \{\epsilon\}, \{x\}$  for  $x \in \Sigma$  using finitely many unions, concatenations and iterations.

Proof:  $\Leftarrow$  follows from the previous thm.

Prove  $\Rightarrow$  using even more generalized NFAs, where each edge is labelled by a language and we can traverse the edge if we read a word in that language from the input.

Consider a DFA accepting  $L$ . We will transform it gradually to  $a \xrightarrow{L} z$ , always preserving the accepted language & making sure that languages on the edges can be constructed in the required way (using  $\cup, \cdot, *$ ).

Steps: ① Initialization: add unique init. & acc. states:



repeat while there are parallel edges

② elimination of parallel edges: replace  $x \xrightarrow{L_1} y$  and  $x \xrightarrow{L_2} y$  by  $x \xrightarrow{L_1 \cup L_2} y$  (we can have  $x=y$  here)

③ elimination of states: ~~for~~ remove a state  $s \neq a, z$ , routing around it:

a) if  $s$  has no loops: replace all  $x \xrightarrow{L_1} s \xrightarrow{L_2} y$  by  $x \xrightarrow{L_1 \cdot L_2} y$

b) if  $s$  has a loop: replace all  $x \xrightarrow{L_1} s \xrightarrow{L_2} s \xrightarrow{L_3} y$  by  $x \xrightarrow{L_1 \cdot L_2^* \cdot L_3} y$

repeat until only  $a, z$  remain

Theorem:  $DSPACE(1) = NSPACE(1) =$  class of all regular languages.

Building the proof: Deterministic machines first.

- ① TMs with just the input tape, which is read only & head doesn't move left ... this is equivalent to a DFA (technical detail: how do we accept/reject?)
- ② Allow moving left. Tech. detail: delimit the input as  $\langle \alpha \rangle$ . On  $\langle$ , the TM must move right, on  $\rangle$ , it must move left.

This is called the bi-directional DFA. We will prove that these accept just regular languages. (Infinite loop ~~is~~ / divergence is interpreted as rejecting the input.)

- ③ Allow work tapes of constant size: their contents & head positions can be moved inside machine state  $\rightarrow$  this is equivalent to ②.
- ④ Non-deterministic TMs:
  - ① becomes NFA, so also regular
  - ② will need a generalized proof
  - ③ still reduces to ②.

Need to prove: If  $L$  is accepted by a bi-dir. DFA, then  $L$  is regular.

Consider computation of the bi-dir. DFA on suffixes of a given input  $\alpha$ :

- we start on  $\alpha[i]$  in some state  $s$
- we let the computation run until
  - it stops in  $q^+$  or  $q^-$
  - it diverges (equivalent to  $q^-$ )
  - it leaves the suffix  $\alpha[i:]$  by moving left from position  $i$ .
- we can describe this behavior by a function  $f_i : Q \setminus \{q^0, q^-\} \rightarrow Q$

The  $f_i$ 's can be constructed backwards ...

- $f_{|\alpha|}$  is trivial (the TM must ~~immediately~~ not move right, so iterate  $\bar{\sigma}$  until it moves left/stops)
- $f_{i+1} \rightarrow f_i$ : for  $f_i(s)$ , construct a sequence of states:

$s_0 = s$

$s_j \rightarrow s_{j+1}$ : if  $s_j = q^+ / q^-$ , stop & define  $f_i(s) := s_j$

otherwise evaluate  $\bar{\sigma}(s_j, \alpha[i]) \rightarrow (s'_j, \text{movement})$

- if movement =  $\leftarrow$ : stop & define  $f_i(s) := s'_j$
- if movement =  $\bullet$ :  $s_{j+1} := s'_j$  & continue
- if movement =  $\rightarrow$ :  $s_{j+1} := f_{i+1}(s'_j)$  & continue

if  $s_{j+1} = s_i$  for  $i \leq j$ , the machine diverged, so  $f_i(s) := q^-$  & stop.

$\Rightarrow f_i$  is a function of  $f_{i+1}$  and  $\alpha[i]$ .

So there is a DFA processing  $\alpha^R$ , whose states are the  $f_i$ 's.

$\alpha^R$  is accepted  $\Leftrightarrow f_{-1}(q_0) = q^+$

minor technicality:  
we let the TM start on  $\leftarrow$   
instead of the first char. of  $\alpha$

so  $L^R$  is regular,  
therefore  $L$  is also  
regular.